

EXHIBIT A

KIRBY AISNER & CURLEY LLP
Proposed Attorneys for the Debtor
700 Post Road, Suite 237
Scarsdale, New York 10583
(914) 401-9500
Erica R. Aisner, Esq.

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

-----X

In re:

TRIAX CAPITAL ADVISORS LLC,

Chapter 11
Case No. 19-24145(rdd)

Debtor.

-----X

**DECLARATION OF JOSEPH SARACHEK
PURSUANT TO LOCAL BANKRUPTCY RULE 1007-2**

JOSEPH SARACHEK, hereby declares under penalties of perjury:

1. I am the sole and managing member of Triax Capital Advisors LLC, the above-referenced debtor and debtor-in-possession (the “Debtor”). As such, I am fully familiar with the Debtor’s operations, businesses and financial affairs.

2. I submit this declaration pursuant to Rule 1007(d) of the Federal Rules of Bankruptcy Procedure (the “Bankruptcy Rules”) and Rule 1007-2 of the Local Rules for the United States Bankruptcy Court for the Southern District of New York.

BACKGROUND

3. The Debtor has been engaged in the restructuring business since 2001, first as a restructuring advisory firm and more recently as an investor in bankruptcy trade claims.

4. In the past several years, the two primary acquisitions the Debtor has made were in the receivership proceeding of the Stanford International Bank pending in Antigua in the chapter 11 proceeding of Life Partners, Inc which is pending in the Western District of Texas. Currently,

the Debtor owns approximately \$12.2 million (face amount) of claims in the Stanford proceeding and a 50% membership interest in LPAQ, LLC, a partnership which holds 9,567,604 units in the liquidating trust which has resulted from the Life Partners proceeding.

5. The Debtor was forced to file for chapter 11 bankruptcy relief in order to stay the “firesale” sale of its 50% interest in LPAQ, LLC by one of the Debtor’s creditors. As a result of the work of the Trustee of the Life Partners Trust, the Debtor is entitled to receive approximately \$77,414.59 in a cash distribution recently authorized, the receipt of which is anticipated shortly. This is only a small portion of the recovery that the Debtor anticipates on account of its investment in this asset.

6. The Debtor intends to utilize the chapter 11 process to maintain control over its assets while it works to maximize and monetize their value for the benefit of all creditors, not just one.

7. As such, the Declarant submits that the best interests of the estate and its creditors will be served through this Chapter 11 case and the Debtor remaining in possession of its assets and managing its own affairs, under the supervision of the Court, as a debtor-in-possession.

INFORMATION REQUIRED BY LOCAL BANKRUPTCY RULE 1007-2

8. In addition to the foregoing, Local Bankruptcy Rule 1007-2 requires certain information related to the Debtor, which is set forth below.

Local Rule 1007-2(a)(1)

9. As set forth herein, the Debtor is an investment company which trades in bankruptcy related assets.

Local Rule 1007-2(a)(2)

10. This case was not originally commenced under Chapter 7 or 13 of title 11 of the United States Code, 11 U.S.C. §§ 101, et seq.

Local Rule 1007-2(a)(3)

11. Upon information and belief, no committee or professionals were employed prior to the filing of the Order for relief.

Rule 1007-2(a)(4)

12. A list of the holders of the 20 largest general unsecured claims is annexed hereto as *Exhibit A*.

Local Rule 1007-2(a)(5)

13. A list of the Debtor's 5 largest secured creditors is annexed hereto as *Exhibit B*.

Local Rule 1007-2(a)(6)

14. A summary of assets and liabilities is annexed hereto as *Exhibit C*.

Local Rule 1007-2(a)(7)

15. There are no publicly held securities of the Debtor.

Local Rule 1007-2(a)(8)

16. None of the Debtor's property is in possession of a receiver or custodian.

Local Rule 1007-2(a)(9) and (10)

17. The Debtor maintains offices in Scarsdale, New York as well as 101 Park Avenue, New York New. The Debtor has no assets located outside the territorial limits of the United States.

Local Rule 1007-2(a)(11)

18. There are currently three lawsuits currently pending against the Debtor.

Local Rule 1007-2(a)(xiii)

19. The Debtor's senior management consists of Declarant.

Local Rule 1007-2(b)(1)-(3)

20. The Debtor's estimated payroll to non-insider, non-officer employees for the thirty (30) day period following the Chapter 11 petition is \$4,000. The Debtor's estimated payroll to insider officers for the thirty (30) day period following the Chapter 11 petition is \$0.

21. The Debtor anticipates distributions on account of its investment holdings in excess of \$150,000 and expenses of only approximately \$4,000 over the same thirty (30) day period.

Pursuant to 28 U.S.C. § 1746, I declare under the penalty of perjury that the foregoing is true and correct.

Dated: Scarsdale, New York
December 27, 2019

/s/ Joseph Sarachek
JOSEPH SARACHEK

Exhibit "A"

Twenty Largest Unsecured Creditors

Principal Land 477 Madison Street Brooklyn, NY, 11221-2688	\$1,210,000
Norma Loren 601 Palm Drive Arlington, VA, 22209	\$992,081
Bergen Claim Funding c/o Bulldog Investors 250 Pehle Avenue Saddle Brook, NJ, 07663	\$250,000
Stillwater Investors 7380 S. Eastern Las Vegas, NV, 89123	\$125,000
Izower Feldman, LLP 85 Broad Street 18th Floor New York, NJ, 10004	\$75,000
New York State Department of Labor Building 12, W. Averell Harriman State Office Campus Albany, NY, 12240	\$15,000

Exhibit "B"

Five Largest Secured Creditors

Southern Financial Group	\$1,390,000
900 Austin Avenue	
Waco, Texas 76701	

Exhibit "C"

Summary of Assets and Liabilities

To be provided

EXHIBIT B



U.S. Department of Justice
Office of the United States Trustee
Southern District of New York

U.S. Federal Office Building
201 Varick Street, Room 1006
New York, NY 10014
Phone: (212) 510-0500
Fax: (212) 668-2255/2361

February 27, 2020

Via Email

Erica Feynman Aisner
Kirby Aisner & Curley LLP
700 Post Road
Ste. 237
Scarsdale, NY 10583

Re: Triax Capital Advisors LLC, 19-24145-rdd

Dear Erica:

I wanted to put in writing our understanding from today. By the close of business on March 5, 2020, the Debtor should provide the following to my office:

- (1) The last three years of federal and state tax returns of the Debtor's principal. The Debtor testified that the Debtor did not have its own tax returns but reported on the tax returns of the Debtor's principal. The Debtor intends to redact information and the United States Trustee reserves his right to assert at some future time that the redactions were inappropriate.
- (2) All insider transactions for the last three years, with the definition of "insider" interpreted broadly to include the principal's other business interests and family members.
- (3) A statement of what the Debtor kept as its "books and records." The Debtor apparently did not keep ledgers or journals as such. The Debtor has bank accounts and transaction accounts. The Debtor was going to review what it has in the way of records, and among other things, list its bank accounts.

Monthly operating reports will be filed with the same deadline.

WILLIAM K. HARRINGTON
UNITED STATES TRUSTEE

By: /s/ Greg M. Zipes
Greg M. Zipes
Trial Attorney

EXHIBIT C

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

In re TRIAX CAPITAL ADVISORS, LLC
Debtor

Case No. 19-24145
Reporting Period: 01/1/20-01/31/20

Federal Tax I.D. # 37-1831326

CORPORATE MONTHLY OPERATING REPORT

File with the Court and submit a copy to the United States Trustee within 20 days after the end of the month and submit a copy of the report to any official committee appointed in the case.

(Reports for Rochester and Buffalo Divisions of Western District of New York are due 15 days after the end of the month, as are the reports for Southern District of New York.)

REQUIRED DOCUMENTS	Form No.	Document Attached	Explanation Attached
Schedule of Cash Receipts and Disbursements	MOR-1		
Bank Reconciliation (or copies of debtor's bank reconciliations)	MOR-1 (CON'T)		
Copies of bank statements			
Cash disbursements journals			
Statement of Operations	MOR-2		
Balance Sheet	MOR-3		
Status of Post-petition Taxes	MOR-4		
Copies of IRS Form 6123 or payment receipt			
Copies of tax returns filed during reporting period			
Summary of Unpaid Post-petition Debts	MOR-4		
Listing of Aged Accounts Payable			
Accounts Receivable Reconciliation and Aging	MOR-5		
Taxes Reconciliation and Aging	MOR-5		
Payments to Insiders and Professional	MOR-6		
Post Petition Status of Secured Notes, Leases Payable	MOR-6		
Debtor Questionnaire	MOR-7		

I declare under penalty of perjury (28 U.S.C. Section 1746) that this report and the attached documents are true and correct to the best of my knowledge and belief.

Signature of Debtor _____

Date _____

Signature of Authorized Individual* Joseph E. Sarachek

Date 3-3-20

Printed Name of Authorized Individual _____

Date _____

Joseph E. Sarachek

*Authorized individual must be an officer, director or shareholder if debtor is a corporation; a partner if debtor is a partnership; a manager or member if debtor is a limited liability company.

In re TRIAX CAPITAL ADVISORS, LLC
Debtor

Case No. 19-24145
Reporting Period: 01/1/20-01/31/20

SCHEDULE OF CASH RECEIPTS AND DISBURSEMENTS

Amounts reported should be from the debtor's books and not the bank statement. The beginning cash should be the ending cash from the prior month or, if this is the first report, the amount should be the balance on the date the petition was filed. The amounts reported in the "CURRENT MONTH - ACTUAL" column must equal the sum of the four bank account columns. Attach copies of the bank statements and the cash disbursements journal. The total disbursements listed in the disbursements journal must equal the total disbursements reported on this page. A bank reconciliation must be attached for each account. [See MOR-1 (CON'T)]

ACCOUNT NUMBER (LAST 4)	BANK ACCOUNTS				CURRENT MONTH ACTUAL (TOTAL OF ALL ACCOUNTS)
	OPER	PAYROLL	TAX	OTHER	
CASH BEGINNING OF MONTH	1000	0	0		0
RECEIPTS					
CASH SALES	0	0	0	0	0
ACCOUNTS RECEIVABLE - PREPETITION					
ACCOUNTS RECEIVABLE - POSTPETITION					
LOANS AND ADVANCES					
SALE OF ASSETS					
OTHER (ATTACH LIST)					
TRANSFERS (FROM DIP ACCTS)					
TOTAL RECEIPTS					
DISBURSEMENTS					
NET PAYROLL					
PAYROLL TAXES					
SALES, USE, & OTHER TAXES					
INVENTORY PURCHASES					
SECURED/ RENTAL/ LEASES					
INSURANCE					
ADMINISTRATIVE					
SELLING					
OTHER (ATTACH LIST)					
OWNER DRAW *					
TRANSFERS (TO DIP ACCTS)					
PROFESSIONAL FEES					
U.S. TRUSTEE QUARTERLY FEES					
COURT COSTS					
TOTAL DISBURSEMENTS	0	0	0	0	0
NET CASH FLOW (RECEIPTS LESS DISBURSEMENTS)					
CASH - END OF MONTH	1000				

* COMPENSATION TO SOLE PROPRIETORS FOR SERVICES RENDERED TO BANKRUPTCY ESTATE

THE FOLLOWING SECTION MUST BE COMPLETED

DISBURSEMENTS FOR CALCULATING U.S. TRUSTEE QUARTERLY FEES (FROM CURRENT MONTH ACTUAL COLUMN)

TOTAL DISBURSEMENTS	
LESS: TRANSFERS TO OTHER DEBTOR IN POSSESSION ACCOUNTS	
PLUS: ESTATE DISBURSEMENTS MADE BY OUTSIDE SOURCES (i.e. from escrow accounts)	
TOTAL DISBURSEMENTS FOR CALCULATING U.S. TRUSTEE QUARTERLY FEES	

In re: TRIAX CAPITAL ADVISORS, LLC
Debtor

Case No. 19-24145
Reporting Period: 01/1/20-01/31/20

STATEMENT OF OPERATIONS (Income Statement)

The Statement of Operations is to be prepared on an accrual basis. The accrual basis of accounting recognizes revenue when it is realized and expenses when they are incurred, regardless of when cash is actually received or paid.

REVENUE	MONTH	CUMULATIVE PERIOD TO DATE
Gross Revenues		
Less: Returns and Allowances		
Net Revenue		
COST OF GOODS SOLD		
Beginning Inventory		
Add: Purchases		
Add: Cost of Labor		
Add: Other Costs (attach schedule)		
Less: Ending Inventory		
Cost of Goods Sold		
Gross Profit		
OPERATING EXPENSES		
Advertising		
Auto and Truck Expense		
Bad Debts		
Contributions		
Employee Benefits Program		
Officer/Insider Compensation*		
Insurance	\$2.46	
Management Fees/Bonuses		
Office Expense		
Pension & Profit-Sharing Plans		
Repairs and Maintenance		
Rent and Lease Expense		
Salaries/Commissions/Fees		
Supplies		
Taxes - Payroll		
Taxes - Real Estate		
Taxes - Other		
Travel and Entertainment		
Utilities		
Other (attach schedule)		
Total Operating Expenses Before Depreciation	\$2.46	
Depreciation/Depletion/Amortization		
Net Profit (Loss) Before Other Income & Expenses	-\$2.46	
OTHER INCOME AND EXPENSES		
Other Income (attach schedule)		
Interest Expense		
Other Expense (attach schedule)		
Net Profit (Loss) Before Reorganization Items	-\$2.46	
REORGANIZATION ITEMS		
Professional Fees	2567.5	
U.S. Trustee Quarterly Fees		
Interest Earned on Accumulated Cash from Chapter 11 (see continuation sheet)		
Gains (Loss) from Sale of Equipment		
Other Reorganization Expenses (attach schedule)		
Total Reorganization Expenses	2567.5	
Income Taxes		
Net Profit (Loss)	-\$2819.96	

*Insider is defined in 11 U.S.C. Section 101(13)

BREAKDOWN OF "OTHER" CATEGORY

OTHER COSTS		
OTHER OPERATIONAL EXPENSES		
OTHER INCOME		
OTHER EXPENSES		
OTHER REORGANIZATION EXPENSES		

Reorganization Items - Interest Earned on Accumulated Cash from Chapter 11:
Interest earned on cash accumulated during the chapter 11 case, which would not have been earned but for the bankruptcy proceeding, should be reported as a reorganization item.

In re THORAX CAPITAL ADVISORS, LLC

Case No. 19-24145

Debtor

Reporting Period: 01/01/20-01/31/20

BALANCE SHEET

The Balance Sheet must be completed on an annual basis only. The prior is liability must be listed in full and not just a summary of the prior period.

ASSETS	BOOK VALUE AT END OF CURRENT REPORTING MONTH	BOOK VALUE AT END OF PRIOR REPORTING MONTH	BOOK VALUE ON PETITION DATE
Current Assets			
Restricted Cash and Equivalents			
Restricted Cash and Cash Equivalents (per statement of assets)	1,000	1,000	1,000
Accounts Receivable (Net)	177,094.83	177,094.83	177,094.83
Notes Receivable			
Inventory			
Prepaid Expenses	103.92		
Professional Retainers			
Other Current Assets (attach schedule)	2,940,000	2,940,000	2,940,000
TOTAL CURRENT ASSETS	2,678,238.75	2,678,238.75	2,678,238.75
Noncurrent Assets			
Real Property and Improvements			
Machinery and Equipment			
Furniture, Fixtures, and Office Equipment			
Leasedhold Improvements			
Vehicles			
Less: Accumulated Depreciation			
TOTAL PROPERTY & EQUIPMENT	0	0	0
Other Assets			
Accounts due from Insiders*	0	0	0
Other Assets (attach schedule)			
TOTAL OTHER ASSETS	0	0	0
TOTAL ASSETS	2,678,238.75	2,678,238.75	2,678,238.75
LIABILITIES AND OWNERS' EQUITY			
	BOOK VALUE AT END OF CURRENT REPORTING MONTH	BOOK VALUE AT END OF PRIOR REPORTING MONTH	BOOK VALUE ON PETITION DATE
LIABILITIES NOT SUBJECT TO CREATOR'S SUPERVISION			
Accounts Payable			
Taxes Payable (refer to STATEMENTS)			
Wages Payable			
Notes Payable			
Rent / Leases - Building/Equipment			
Secured Debt / Adequate Protection Payments			
Professional Fees			
Accounts due to Insiders*			
Other Pre-petition Liabilities (attach schedule)			
TOTAL PRE-PETITION LIABILITIES	0	0	0
LIABILITIES SUBJECT TO CREATOR'S SUPERVISION (per 11 USC 541)			
Secured Debt	1,675,516.56	1,675,516.56	1,675,516.56
Priority Debt			
Unsecured Debt	2,582,081.18	2,582,081.18	2,582,081.18
TOTAL PRE-PETITION LIABILITIES	4,257,597.74	4,257,597.74	4,257,597.74
OWNERS' EQUITY			
Capital Stock			
Additional Paid-in Capital			
Partners' Capital Account			
Owner's Equity Account			
Retained Earnings - Pre-Petition	(1,584,397.99)	(1,584,397.99)	(1,584,397.99)
Retained Earnings - Post-petition			
Adjustments to Owner Equity (attach schedule)			
Pre-petition Contributions (attach schedule)			
NET OWNERS' EQUITY	(1,584,397.99)	(1,584,397.99)	(1,584,397.99)
TOTAL LIABILITIES AND OWNERS' EQUITY	2,678,238.75	2,678,238.75	2,678,238.75

*Insider's defined in 11 USC 541(c)(2)

BALANCE SHEET - continuation section			
ASSETS	BOOK VALUE AT END OF CURRENT REPORTING MONTH	BOOK VALUE AT END OF PRIOR REPORTING MONTH	BOOK VALUE ON PETITION DATE
Other Current Assets			
Unaffiliated Investment/Equity Claims	1,250,000.00	1,250,000.00	1,250,000.00
UNCL	1,300,000.00	1,300,000.00	1,300,000.00
Other Assets			
LIABILITIES AND OWNERS' EQUITY			
	BOOK VALUE AT END OF CURRENT REPORTING MONTH	BOOK VALUE AT END OF PRIOR REPORTING MONTH	BOOK VALUE ON PETITION DATE
Other Post-petition Liabilities			
Adjustments to Owner's Equity			
Post-Petition Contributions			

Restricted Cash: Cash that is restricted for a specific use and not available to fund operations. Typically, restricted cash is aggregated into a separate account, such as an escrow account.

In re TRIAX CAPITAL ADVISORS, LLC
Debtor

Case No. 19-24145
Reporting Period: 01/1/20-01/31/20

STATUS OF POST-PETITION TAXES

The beginning tax liability should be the ending liability from the prior month or, if this is the first report, the amount should be zero.

Attach photocopies of IRS Form 6123 or payment receipt to verify payment or deposit of federal payroll taxes.

Attach photocopies of any tax returns filed during the reporting period.

	Beginning Tax	Amount Withheld and/or Accrued	Amount Paid	Date Paid	Check # or EFT	Ending Tax
Federal						
Withholding						
FICA-Employee						
FICA-Employer						
Unemployment						
Income						
Other:						
Total Federal Taxes						
State and Local						
Withholding						
Sales						
Excise						
Unemployment						
Real Property						
Personal Property						
Other:						
Total State and Local						
Total Taxes						

SUMMARY OF UNPAID POST-PETITION DEBTS

Attach aged listing of accounts payable.

	Number of Days Past Due					Total
	Current	0-30	31-60	61-90	Over 91	
Accounts Payable						
Wages Payable						
Taxes Payable						
Rent/Leases-Building						
Rent/Leases-Equipment						
Secured Debt/Adequate Protection Payments						
Professional Fees						
Amounts Due to Insiders						
Other:						
Other:						
Total Post-petition Debts						

Explain how and when the Debtor intends to pay any past due post-petition debts.

In re TRIAX CAPITAL ADVISORS, LLC
Debtor

Case No. 19-24145
Reporting Period: 01/1/20-01/31/20

ACCOUNTS RECEIVABLE RECONCILIATION AND AGING

Accounts Receivable Reconciliation	Amount
Total Accounts Receivable at the beginning of the reporting period	
Plus: Amounts billed during the period	
Less: Amounts collected during the period	
Total Accounts Receivable at the end of the reporting period	

Accounts Receivable Aging	0-30 Days	31-60 Days	61-90 Days	91+ Days	Total
0 - 30 days old					
31 - 60 days old					
61 - 90 days old			172,094.83		
91+ days old					
Total Accounts Receivable			172,094.83		
Less: Bad Debts (Amount considered uncollectible)					
Net Accounts Receivable					

TAXES RECONCILIATION AND AGING

Taxes Payable	0-30 Days	31-60 Days	61-90 Days	91+ Days	Total
0 - 30 days old					
31 - 60 days old					
61 - 90 days old					
91+ days old					
Total Taxes Payable					
Total Accounts Payable					

In re TRIAX CAPITAL ADVISORS, LLC
Debtor

Case No. 19-24145
Reporting Period: 01/1/20-01/31/20

PAYMENTS TO INSIDERS AND PROFESSIONALS

Of the total disbursements shown on the Cash Receipts and Disbursements Report (MOR-1) list the amount paid to insiders (as defined in Section 101(31) (A)-(F) of the U.S. Bankruptcy Code) and to professionals. For payments to insiders, identify the type of compensation paid (e.g. Salary, Bonus, Commissions, Insurance, Housing Allowance, Travel, Car Allowance, Etc.). Attach additional sheets if necessary.

INSIDERS			
NAME	TYPE OF PAYMENT	AMOUNT PAID	TOTAL PAID TO DATE
TOTAL PAYMENTS TO INSIDERS			

PROFESSIONALS					
NAME	DATE OF COURT ORDER AUTHORIZING PAYMENT	AMOUNT APPROVED	AMOUNT PAID	TOTAL PAID TO DATE	TOTAL INCURRED & UNPAID*
			2567.5		
TOTAL PAYMENTS TO PROFESSIONALS					

* INCLUDE ALL FEES INCURRED, BOTH APPROVED AND UNAPPROVED

POST-PETITION STATUS OF SECURED NOTES, LEASES PAYABLE AND ADEQUATE PROTECTION PAYMENTS

NAME OF CREDITOR	SCHEDULED MONTHLY PAYMENT DUE	AMOUNT PAID DURING MONTH	TOTAL UNPAID POST-PETITION
TOTAL PAYMENTS			

In re TRIAx CAPITAL ADVISORS, LLC
Debtor

Case No. 19-24145
Reporting Period: 01/1/20-01/31/20

DEBTOR QUESTIONNAIRE

	Must be completed each month. If the answer to any of the questions is "Yes", provide a detailed explanation of each item. Attach additional sheets if necessary.	Yes	No
1	Have any assets been sold or transferred outside the normal course of business this reporting period?		X
2	Have any funds been disbursed from any account other than a debtor in possession account this reporting period?		X
3	Is the Debtor delinquent in the timely filing of any post-petition tax returns?		X
4	Are workers compensation, general liability or other necessary insurance coverages expired or cancelled, or has the debtor received notice of expiration or cancellation of such policies?		X
5	Is the Debtor delinquent in paying any insurance premium payment?		X
6	Have any payments been made on pre-petition liabilities this reporting period?		X
7	Are any post petition receivables (accounts, notes or loans) due from related parties?		X
8	Are any post petition payroll taxes past due?		X
9	Are any post petition State or Federal income taxes past due?		X
10	Are any post petition real estate taxes past due?		X
11	Are any other post petition taxes past due?		X
12	Have any pre-petition taxes been paid during this reporting period?		X
13	Are any amounts owed to post petition creditors delinquent?		X
14	Are any wage payments past due?		X
15	Have any post petition loans been received by the Debtor from any party?		X
16	Is the Debtor delinquent in paying any U.S. Trustee fees?		
17	Is the Debtor delinquent with any court ordered payments to attorneys or other professionals?		X
18	Have the owners or shareholders received any compensation outside of the normal course of business?		X



SIGNATURE BANK
565 Fifth Avenue, 12th Floor
New York, NY 10017

Statement Period
From January 01, 2020
To January 31, 2020
Page 1 of 2

PRIVATE CLIENT GROUP 722
1C QUAKER RIDGE ROAD
NEW ROCHELLE, NY 10804

TRIAX CAPITAL ADVISORS LLC 8-722
DEBTOR IN POSSESSION CASE # 19 24145
(OPERATING ACCOUNT)
22 HARVEST DR
SCARSDALE NY 10583 999

See Back for Important Information

Primary Account:  0

AVOID BUSINESS E-MAIL FINANCIAL FRAUD! PLEASE VISIT THE "PRIVACY & SECURITY"
SECTION LOCATED UNDER THE "ABOUT US" HEADING AT WWW.SIGNATURENY.COM. SELECT
"BUSINESS E-MAIL COMPROMISE" TO READ THE RECENT NEWS FROM THE FEDERAL
BUREAU OF INVESTIGATION REGARDING FRAUD TARGETING BUSINESSES, INCLUDING
GUIDANCE ON WHAT YOU CAN DO TO REDUCE YOUR RISK OF BECOMING A VICTIM.
SIGNATURE BANK BELIEVES THAT THIS IS IMPORTANT NEWS TO SHARE WITH OUR
CLIENTS. WE ARE MAKING IT AVAILABLE TO YOU FOR YOUR INFORMATION AND ANY
ACTION THAT YOU MAY CONSIDER APPROPRIATE.

Signature Relationship Summary		Opening Bal.	Closing Bal.
BANK DEPOSIT ACCOUNTS			
 712	BANKRUPTCY CHECKING	.00	.00
RELATIONSHIP	TOTAL		.00

Signature

SIGNATURE BANK

Statement Period
From January 01, 2020
To January 31, 2020
Page 2 of 2

PRIVATE CLIENT GROUP 722
1C QUAKER RIDGE ROAD
NEW ROCHELLE, NY 10804

TRIAX CAPITAL ADVISORS LLC 8-722
DEBTOR IN POSSESSION CASE # 19 24145
(OPERATING ACCOUNT)
22 HARVEST DR
SCARSDALE NY 10583 999

See Back for Important Information

Primary Account:  0

BANKRUPTCY CHECKING  712

Summary

Previous Balance as of January 01, 2020	.00
There was no deposit activity during this statement period	
Ending Balance as of January 31, 2020	.00



SIGNATURE BANK
565 Fifth Avenue, 12th Floor
New York, NY 10017

Statement Period
From January 01, 2020
To January 31, 2020
Page 1 of 2

PRIVATE CLIENT GROUP 722
1C QUAKER RIDGE ROAD
NEW ROCHELLE, NY 10804

TRIAX CAPITAL ADVISORS LLC 8-722
DEBTOR IN POSSESSION CASE # 19 24145
(PAYROLL ACCOUNT)
22 HARVEST DR
SCARSDALE NY 10583 999

See Back for Important Information

Primary Account:  4 0

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SIGNATURE BANK BELIEVES THAT THIS IS IMPORTANT NEWS TO SHARE WITH OUR
CLIENTS. WE ARE MAKING IT AVAILABLE TO YOU FOR YOUR INFORMATION AND ANY
ACTION THAT YOU MAY CONSIDER APPROPRIATE.

Signature Relationship Summary		Opening Bal.	Closing Bal.
BANK DEPOSIT ACCOUNTS			
	BANKRUPTCY CHECKING	.00	.00
RELATIONSHIP	TOTAL		.00

Signature

SIGNATURE BANK

Statement Period
From January 01, 2020
To January 31, 2020
Page 2 of 2

PRIVATE CLIENT GROUP 722
1C QUAKER RIDGE ROAD
NEW ROCHELLE, NY 10804

TRIAX CAPITAL ADVISORS LLC 8-722
DEBTOR IN POSSESSION CASE # 19 24145
(PAYROLL ACCOUNT)
22 HARVEST DR
SCARSDALE NY 10583 999

See Back for Important Information

Primary Account:  04 0

BANKRUPTCY CHECKING  04

Summary

Previous Balance as of January 01, 2020	.00
There was no deposit activity during this statement period	
Ending Balance as of January 31, 2020	.00



SIGNATURE BANK
565 Fifth Avenue, 12th Floor
New York, NY 10017

Statement Period
From January 01, 2020
To January 31, 2020
Page 1 of 2

PRIVATE CLIENT GROUP 722
1C QUAKER RIDGE ROAD
NEW ROCHELLE, NY 10804

TRIAX CAPITAL ADVISORS LLC 8-722
DEBTOR IN POSSESSION CASE # 19 24145
(OPERATING ACCOUNT)
22 HARVEST DR
SCARSDALE NY 10583 999

See Back for Important Information

Primary Account:  0

AVOID BUSINESS E-MAIL FINANCIAL FRAUD! PLEASE VISIT THE "PRIVACY & SECURITY"
SECTION LOCATED UNDER THE "ABOUT US" HEADING AT WWW.SIGNATURENY.COM. SELECT
"BUSINESS E-MAIL COMPROMISE" TO READ THE RECENT NEWS FROM THE FEDERAL
BUREAU OF INVESTIGATION REGARDING FRAUD TARGETING BUSINESSES, INCLUDING
GUIDANCE ON WHAT YOU CAN DO TO REDUCE YOUR RISK OF BECOMING A VICTIM.
SIGNATURE BANK BELIEVES THAT THIS IS IMPORTANT NEWS TO SHARE WITH OUR
CLIENTS. WE ARE MAKING IT AVAILABLE TO YOU FOR YOUR INFORMATION AND ANY
ACTION THAT YOU MAY CONSIDER APPROPRIATE.

Signature Relationship Summary		Opening Bal.	Closing Bal.
BANK DEPOSIT ACCOUNTS			
 12	BANKRUPTCY CHECKING	.00	.00
RELATIONSHIP	TOTAL		.00

Signature

SIGNATURE BANK

Statement Period
From January 01, 2020
To January 31, 2020
Page 2 of 2

PRIVATE CLIENT GROUP 722
1C QUAKER RIDGE ROAD
NEW ROCHELLE, NY 10804

TRIAX CAPITAL ADVISORS LLC 8-722
DEBTOR IN POSSESSION CASE # 19 24145
(OPERATING ACCOUNT)
22 HARVEST DR
SCARSDALE NY 10583 999

See Back for Important Information

Primary Account:  0

BANKRUPTCY CHECKING 

Summary

Previous Balance as of January 01, 2020	.00
There was no deposit activity during this statement period	
Ending Balance as of January 31, 2020	.00